JUL 2 9 2002 8

2 9 2002	_	Sheet <u>1</u> of <u>1</u>	
Substitute Form P 20-1449 (Modified) Patent and Trademark Office	Attorney's Docket No. 06618-707001	Application No. 09/963,788	
Information Disclosur Statement by Applicant	Applicant Michael S. Freund, et al.		
(Use several sheets if necessary) (37 CFR §1.98(b))	Filing Date September 25, 2001	Group Art Unit 2856	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
Y	AA	6,221,673 B1	Apr. 24, 2001	Snow et al.	436	149	
_	AB						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass		lation No
	AC							

	Other Documents (include Author, Title, Date, and Place of Publication)				
	Exan	Examiner Desig.			
	Initial ID		ID	Document	
		7	AD	Brust, et al., "Synthesis of Thiol-derivatised Gold Nanoparticles in a Two-phase Liquid-Liquid	
	AD AD		ΚD	System", J. Chem. Soc., Chem. Commun., No. 7, pp.801-802, April, 1994	
				Elghanian, et al., "Selective Colorimetric Detection of Polynucleotides Based on the Distance-	
			AE	Dependent Optical Properties of Gold Nanoparticles", <u>Science</u> , Vol. 277, pp. 1078-1081, August, 1997	
	1		4.5	Green et al., "Three-Dimensional Monolayers: Nanometer-Sized Electrodes of Alkanethiolate-	
			AF	Stabilized Gold Cluster Molecules", J. Phys. Chem. B, Vol. 101, pp. 2663-2668 (1997)	
				Henglein, Arnim, "Physicochemical Properties of Small Metal Particles in Solution:	
	1	1	AG	"Microelectrode" Reactions, Chemisorption, Composite Metal Particles, and the Atom-to-Metal	
	L.			Transition", J. of Phys. Chem., Vol. 97, No. 21, pp. 5457-5471, February, 1993	
			AH	Hostetler, et al., "Alkanethiolate Gold Cluster Molecules with Core Diameters from 1.5 to 5.2 nm:	
				Core and Monolayer Properties as a Function of Core Size", Langmuir, Vol. 14, pp. 17-30 (1998)	
	177		_	Hostetler, et al., "Monolayers in Three Dimensions: Synthesis and Electrochemistry of -ω-	
	177		ΑĪ	functionalized Alkanethiolate-Stabilized Gold Cluster Compounds", J. Am. Chem. Soc., Vol. 118,	
1 2	1-1)		No. 17, pp. 4212-4213, May, 1996	
から	P. KA AJ		AJ	Ingram, et al., "Poly-hetero-ω-functionalized Alkanethiolate-Stabilized Gold Cluster Compounds",	
C	5-1	1		J. Am. Chem. Soc., Vol. 119, No. 39, pp. 9175-9178, October, 1997 Lee, et al., "Electron Hopping and Electronic Conductivity in Monolayers of Alkanethiol-Stabilized	
٧	ا جے ا	4		Gold Nano-Clusters at the Air/Water Interface", <u>Israel Journal of Chemistry</u> , Vol. 37, Nos. 2-3, pp.	
	AK AK		AK	213-223, July, 1997	
)	Leff, et al. "Synthesis and Characterization of Hydrophobic, Organically-Soluble Gold Nanocrystals	
7	7		AL	Functionalized with Primary Amines", Langmuir, 12, pp. 4723-4730 (1996)	
_	1		 	Leff et al., "Thermodynamic Control of Gold Nanocrystal Size: Experiment and Theory", J. Phys.	
	1 1		AM	Chem., Vol. 99, pp. 7036-7041 (1995)	
			1	Templeton, et al., "Reactivity of Monolayer-Protected Gold Cluster Molecules: Steric Effects", <u>J.</u>	
	L_l		AN	Am. Chem. Soc., 120, pp. 1906-1911 (1998)	
	A	AO		Zeiri, et al., Studies of Silver Organosois: Preparation, Characterization, and Cyanide-Induced	
				Aggregation, J. Phys. Chem., Vol. 96, No. 14, pp. 5908-5917, July, 1992	

Examiner Signature Hellul H	Date Considered	02/25/04					
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							
		Substitute Disclosure Form (PTO-1449)					